

# Due Diligence Form

## SMSFs and Australian Regulated Trusts

Please complete neatly in BLOCK LETTERS

If your trust is not regulated by an Australian government department or is not based in Australia, please use the **Unregulated Australian & Foreign Trusts** form.

OFFICE USE ONLY

NEW  CHANGE OF DETAILS

ACCOUNT NUMBER:

CHECKED BY:

### SECTION ONE – SMSF / TRUST DETAILS

FULL NAME OF TRUST INCLUDING TRUSTEE (e.g. John Smith ATF Smith Self-Managed Super Fund)

BUSINESS / TRADING NAME (IF ANY)

COUNTRY WHERE TRUST WAS ESTABLISHED

INDUSTRY (main product or service linked to the trust, in most cases this will be 'Finance and investments')

RESIDENTIAL ADDRESS / PRINCIPAL PLACE OF BUSINESS

POSTAL / REGISTERED OFFICE ADDRESS (if different)

CITY / TOWN

STATE

CITY / TOWN

STATE

POSTCODE

COUNTRY

POSTCODE

COUNTRY

PHONE (including country code)

PRIMARY EMAIL ADDRESS

WEBSITE

TO YOUR KNOWLEDGE, IS ANY PERSON ASSOCIATED WITH THE TRUST A POLITICALLY EXPOSED PERSON?

YES

NO

If 'yes', provide details

SELECT THE TYPE OF REGULATED TRUST BELOW (Select one box only)

<input type="checkbox"/> <b>SELF-MANAGED SUPER FUND (SMSF)</b> Provide Australian Business Number (ABN)	<input type="text"/>
<input type="checkbox"/> <b>GOVERNMENT SUPERANNUATION FUND</b> Provide name of legislation that establishes the fund	<input type="text"/>
<input type="checkbox"/> <b>MANAGED INVESTMENT SCHEME REGISTERED WITH ASIC</b> Provide Australian Registered Scheme Number (ASRN)	<input type="text"/>
<input type="checkbox"/> <b>MANAGED INVESTMENT SCHEME THAT IS NOT REGISTERED WITH ASIC AND ONLY HAS WHOLESALE CUSTOMERS</b> Provide Australian Business Number (ABN)	<input type="text"/>
<input type="checkbox"/> <b>OTHER SUPERANNUATION FUND OR TRUST THAT IS REGULATED BY AN APPROVED AUSTRALIAN STATE, TERRITORY OR COMMONWEALTH REGULATOR (e.g. pooled superannuation trust or an approved deposit fund)</b> Provide regulator name (e.g. ATO, APRA)	Provide registration number
<input type="text"/>	<input type="text"/>



## SECTION TWO - TRUSTEES

ARE ANY OF THE TRUSTEES OF THE TRUST A COMPANY?

YES - COMPANY NAME

Complete a separate Company Due Diligence Form for the trustee company

NO – ENTER DETAILS FOR AT LEAST ONE INDIVIDUAL TRUSTEE BELOW

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS (NO POSTAL ADDRESSES OR PO BOXES)

CITY / TOWN

STATE

POSTCODE

COUNTRY

## SECTION THREE - DECLARATION

AS AUTHORISED REPRESENTATIVE OF THE TRUST, YOU

- confirm and warrant that all the information, including the identification material, provided in this form is true, valid and correct;
- warrant that you have the authority to consent to the disclosure of the personal information of any third party individual as required and provided in accordance with this form;
- agree that the information you provide to us will be maintained in accordance with The Perth Mint's Privacy Policy (available at [www.perthmint.com/privacy-policy](http://www.perthmint.com/privacy-policy)); and
- confirm that you have reviewed and accept The Perth Mint's Terms and Conditions (available at <https://www.perthmint.com/terms-and-conditions/>)

FULL NAME

DATE OF BIRTH

POSITION

PHONE (including area code)

PRIMARY EMAIL ADDRESS

CURRENT RESIDENTIAL ADDRESS (NO POSTAL ADDRESSES OR PO BOXES)

CITY / TOWN

STATE

POSTCODE

COUNTRY

SIGNATURE

COMPANY STAMP (IF APPLICABLE)

DATE



## SECTION FOUR – IDENTIFICATION

Only after we are satisfied that proof of your identity has been established and the validation procedure has been completed will we be able to validate your account for bullion transactions.

**When lodging this form, please provide the following documents:**

- Certified copy of the trust deed.
- For any listed individual trustees and the authorised representative of the trust:
  - a certified copy of a driver's licence, passport or national ID card showing the person's signature and photograph; and
  - if the photographic identification doesn't show their current residential address, an utility bill or government notice showing their current residential address.

**If there is a company trustee, please also provide certified copies of the documents listed in the Company Due Diligence forms**

All documentation provided must be current, except for a passport which may be expired (but not cancelled) by no more than two years. If any documentation is in a language other than English, it must be accompanied by an English translation prepared by an accredited translator.

A certified copy means a document that has been certified as a true copy of the original document by an individual who is authorised to do so.

**For Australian customers, certification/notarisation is acceptable by the following:**

- Legal Practitioner (including lawyers, judges, magistrates, registrar of a court)
- Medical Practitioner
- Pharmacist
- Justice of the Peace
- Notary Public
- Commissioner of Declarations
- Commissioner of Affidavits
- Police Officer
- Member of a professional accounting body
- Australian Consular Official
- An authorised representative of a financial institution

**For overseas customers, certification/notarisation is acceptable by the following:**

- Commissioner for Oaths
- Notary Public
- Justice of the Peace